

# TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application No.	10/656,628
Filing Date	September 5, 2003
First Named Inventor	Yoshihide SENZAKI
Examiner Name	Not yet assigned
Group Art Unit	2812
Attorney Docket No.	A-71730/MSS (463035-878)
	Filing Date First Named Inventor Examiner Name Group Art Unit

		ENCLOSURES	(check all	that apply)		
Fee T	ransmittal Form	Assignment Papers (for an Application)		After Allowance Communication to Group		
Fee A	ttached	Drawing(s)		Appeal Communication to Board of Appeals and Interferences		
Amen	dment / Reply	Licensing-related Pa	pers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After I	Final	Petition		Proprietary Information		
Affida	vits/declaration(s)	Petition to Convert to Provisional Application	1 1	Status Letter		
Exten	sion of Time Request	Power of Attorney, Revocation Change of Correspondence Add		Other Enclosure(s) (please identify below):		
Expre	ss Abandonment Request	Terminal Disclaimer		Form PTO/SB/08A (1 pg.); Copy of International Search Report dated March 4, 2004 (3 pgs.);		
	emental Information sure Statement (3 pgs.)	Request for Refund		five (5) references; and self- addressed stamped Postcard.		
	ed Copy of Priority nent(s)	CD, No. of CD(s)				
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Maria S. Swiatek, Esq., Reg. No. 37,244 DORSEY & WHITNEY LLP Firm 4 Embarcadero Center, Suite 3400 or San Francisco, CA 94111			Customo	er Number 32940		
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5

### **PATENT**

Attorney Docket No. A-71730/MSS Dorsey File No. 463035-878

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SENZAKI et al.

U.S. Serial No.: 10/656,628

F,iling Date: September 5, 2003

For: Low Temperature Deposition of Silicon Based Thin Films by Single-Wafer Hot-Wall Rapid Thermal Chemical Vapor Deposition Examiner: Not yet assigned

Art Unit: 2812

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450 on March 18, 2004

2313-1430011 Widicii 18, 2004

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying form PTO/SB/8A. In accordance with 1273 Off. Gaz. Pat. Off. 1, 8/5/2003, no copies of U.S. patents and U.S. published applications are enclosed. Copies of all other references are enclosed.

Further, in accordance with the provision of 37 C.F.R. §§ 1.97(c) and 1.97(e)(1), the undersigned certifies that references A1-A2 and B1-B5 listed on the enclosed form PTO/SB/08A were cited in the International Search Report dated March 4, 2004, for a counterpart PCT application (PCT/US03/27754). A copy of the International Search Report for the counterpart PCT application is enclosed herewith.

U.S. Serial No.:

10/656,628

Filing Date:

September 5, 2003

Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application. Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

As far as is known to the undersigned, this supplemental Information Disclosure Statement is being filed within three months of the filing date of a national application, within three months of the date of entry of the national state in an international application, or before the mailing date of a first Office Action on the merits as set forth in 37 C.F.R. § 1.97(b), and therefore no fee is required.

While no fee is believed to be due, if this belief is in error the Commissioner is authorized to charge any additional fees, including extension fees or other relief which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Our Order No. 463035-878 [A-71730/MSS]).

PA-1070846\_1

U.S. Serial No.:

10/656,628

Filing Date:

September 5, 2003

Please direct any calls in connection with this application to the undersigned at (650) 494-8700.

Respectfully submitted,

DORSEY & WHITNEY LLP

Dated: March 18, 2004

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Attachments:

Form PTO/SB/8A (1 pg.)

Copy of International Search Report dated March 4, 2004 (PCT/US03/27754)

Five (5) References



Sheet

Substitute for form 1449A/PTO (Modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) 1

Complete if Known				
Application Number 10/656,628				
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First Named Inventor	Yoshihide SENZAKI			
Art Unit	2812			
Examiner Name	Not yet assigned			
Attorney Docket Number	A-71730/MSS (463035-878)	_		

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	A1	5,298,296	03-29-1994	Kojima et al.		
	A2	6,528,364 B1	03-04-2003	Thakur		
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Cite No.	Foreign Patent Document Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т⁰
B1	DE 1,544,287	07-10-1969	Siemens	Abstract	
B2	EP 0 418 468 A1	03-27-1991	Nguyen et al.	Abstract	
В3	EP 0 935 284 A1	08-11-1998	Hwang	Abstract	-
B4	JP 2002-343962 A	11-29-2002	Sato et al.	Abstract	
B5	WO 94/29493 A2	12-22-1994	Fernandez et al.	Abstract	
		<del></del>			
8	31 32 33	81 DE 1,544,287 82 EP 0 418 468 A1 83 EP 0 935 284 A1 84 JP 2002-343962 A	R1 DE 1,544,287 07-10-1969  R2 EP 0 418 468 A1 03-27-1991  R3 EP 0 935 284 A1 08-11-1998  R4 JP 2002-343962 A 11-29-2002	R10wn)  DE 1,544,287  O7-10-1969  Siemens  DE 1,544,287  O7-10-1969  Siemens  Nguyen et al.  DE 1,544,287  D3-27-1991  Nguyen et al.  D8-11-1998  Hwang  D9 2002-343962 A  D9 2002-343962 A  11-29-2002  Sato et al.	Figures Appear   Figu

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Examiner Signature	Date Considered	

considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 

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Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 

for possible. 

Applicant is to place a check mark here if English Language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not